

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application for:

PARTIAL ENCRYPTION AND PID MAPPING

Inventor(s): Robert Allan Unger and Brant Lindsey Candelore

Docket Number: SNY-R4646.04

Prepared By:

Miller Patent Services  
2500 Dockery Lane  
Raleigh, NC 27606

Phone: (919) 816-9981

Fax: (919) 816-9982

Email: miller@patent-inventions.com

CERTIFICATE OF EXPRESS MAILING FOR NEW PATENT APPLICATION

"Express Mail" mailing label number ET070529245US

Date of Deposit JAN. 2, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Catherine N. Miller

(Typed or printed name of person mailing paper or fee)

Catherine N. Miller

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28

**PARTIAL ENCRYPTION AND PID MAPPING**

**CROSS REFERENCE TO RELATED DOCUMENTS**

This application is related to U.S. provisional patent application serial number 60/296,673 filed June 6, 2001 to Candelore, et al. entitled "Method for Allowing Multiple CA Providers to Interoperate in a Content Delivery System by Sending Video in the Clear for Some Content, and Dual Carriage of Audio and Dual Carriage of Video and Audio for Other Content", and provisional patent application serial number 60/304,241 filed July 10, 2001 to Unger et al., entitled "Independent Selective Encryptions of Program Content for Dual Carriage", and provisional patent application serial number 60/304,131 filed July 10, 2001 to Candelore et al., entitled "Method for Allowing Multiple CA Providers to Interoperate in a Content Delivery System by Partial Scrambling Content on a Time Slice Basis" and to U.S. provisional patent application serial no. 60/\_\_\_\_\_, filed on October 26, 2001 to Candelore et al., entitled "Television Encryption Systems", docket number SNY-R4646P, which are hereby incorporated herein by reference.

1 This application is being filed simultaneously with patent applications  
2 docket number SNY-R4646.01 entitled "Critical Packet Partial Encryption" to Unger  
3 et al., serial number \_\_\_\_\_; docket number SNY-R4646.02 entitled  
4 "Time Division Partial Encryption" to Candelore et al., serial number \_\_\_\_\_;  
5 docket number SNY-R4646.03 entitled "Elementary Stream Partial Encryption" to  
6 Candelore, serial number \_\_\_\_\_; and docket number SNY-R4646.05  
7 entitled "Decoding and Decrypting of Partially Encrypted Information" to Unger et  
8 al., serial number \_\_\_\_\_. These simultaneously filed patent applications  
9 are hereby incorporated by reference herein.

### 10 11 **COPYRIGHT NOTICE**

12 A portion of the disclosure of this patent document contains material which  
13 is subject to copyright protection. The copyright owner has no objection to the  
14 facsimile reproduction of the patent document or the patent disclosure, as it  
15 appears in the Patent and Trademark Office patent file or records, but otherwise  
16 reserves all copyright rights whatsoever.

### 17 18 **FIELD OF THE INVENTION**

19 This invention relates generally to the field of encryption systems. More  
20 particularly, this invention relates to systems, methods and apparatus for providing  
21 partial encryption and decryption of digital of television signals.

### 22 23 **BACKGROUND OF THE INVENTION**

24 Television is used to deliver entertainment and education to viewers. The  
25 source material (audio, video, etc.) is multiplexed into a combined signal which is  
26 then used to modulate a carrier. This carrier is commonly known as a channel. (A  
27 typical channel can carry one analog program, one or two high definition (HD)  
28 digital program(s), or several (e.g. nine) standard definition digital programs.) In a  
29 terrestrial system, these channels correspond to government assigned frequencies

1 and are distributed over the air. The program is delivered to a receiver that has a  
2 tuner that pulls the signal from the air and delivers it to a demodulator, which in turn  
3 provides video to a display and audio to speakers. In a cable system the  
4 modulated channels are carried over a cable. There may also be an in-band or out-  
5 of-band feed of a program guide indicating what programs are available and the  
6 associated tuning information. The number of cable channels is finite and limited  
7 by equipment/cable bandwidth. Cable distribution systems require a significant  
8 capital investment and are expensive to upgrade.

9 Much of television content is valuable to its producers, therefore copyright  
10 holders want to control access and restrict copies. Examples of typically protected  
11 material include feature films, sporting events, and adult programming. Conditional  
12 access (CA) systems are used to control availability of programming in content  
13 delivery systems such as cable systems. CA systems come as matched sets –  
14 one part is integrated into the cable system headend and encrypts premium  
15 content, the other part provides decryption and is built into the set-top boxes (STB)  
16 installed in user's homes. Several CA systems are used in the cable industry  
17 including those provided by NDS (Newport Beach, CA), Motorola (Schaumburg, IL)  
18 and Scientific Atlanta (Atlanta, GA). This matched set aspect of CA systems has  
19 the effect that the "legacy" vendor is locked in as the supplier of additional STBs.  
20 Since the various technologies for conditional access are not mutually compatible  
21 (and are often proprietary), any new potential supplier is forced to license the  
22 legacy CA. Thus, the cable operator finds itself unable to acquire newer technology  
23 or competing technology from other set-top box manufacturers since the technology  
24 owners are often unwilling to cooperate, or charge reasonable license fees. This  
25 inflexibility can be especially troublesome when cable companies with disparate  
26 CA systems are merged. Service providers would like more than one source for  
27 STBs for any number of reasons.

28 Once a cable operator picks an encryption scheme, it is difficult to change  
29 or upgrade the content encryption scheme without introducing a backward  
30 compatible decoding device (e.g. set-top box). Providing multiple mode capability

1 in new set-top boxes to handle multiple encryption systems can add substantial  
2 cost to any new set-top box, providing that the technology can be made available  
3 to the STB vendor to provide the multiple decryption capability.

4 The only known current option to avoiding domination by the legacy vendor  
5 (short of wholesale replacement) is using "full dual carriage". Full dual carriage  
6 means that transmission is duplicated for each encrypted program – once for each  
7 type of CA encryption to be used. To provide full dual carriage, the headend is  
8 enhanced to provide each form of CA simultaneously. Legacy STBs should not be  
9 impacted and should continue to perform their function despite any change.  
10 However, full dual carriage often comes at an unpalatable price because of the  
11 bandwidth impact, thus reducing the number of unique programs available.  
12 Generally, the number of premium channels suffers so that the number of options  
13 available to the viewer are limited and the value that can be provided by the cable  
14 operator is restricted.

15 A conventional cable system arrangement is depicted in **FIGURE 1**. In such  
16 a system, the cable operator processes audio/video (A/V) content 14 with CA  
17 technology from manufacturer A (system A) using CA encryption equipment 18  
18 compliant with system A at the cable system -headend 22. The encrypted A/V  
19 content along with system information (SI) 26 and program specific information  
20 (PSI) 27 is multiplexed together and transmitted over the cable system 32 to a  
21 user's STB 36. STB 36 incorporates decrypting CA equipment from system A  
22 (manufacturer A) 40 that decrypts the A/V content. The decrypted A/V content can  
23 then be supplied to a television set 44 for viewing by the user.

24 In a cable system such as that of **FIGURE 1**, digital program streams are  
25 broken into packets for transmission. Packets for each component of a program  
26 (video, audio, auxiliary data, etc.) are tagged with a packet identifier or PID. These  
27 packet streams for each component of all programs carried within a channel are  
28 aggregated into one composite stream. Additional packets are also included to  
29 provide decryption keys and other overhead information. Otherwise unused

bandwidth is filled with null packets. Bandwidth budgets are usually adjusted to utilize about 95% of the available channel bandwidth.

Overhead information usually includes guide data describing what programs are available and how to locate the associated channels and components. This guide data is also known as system information or SI. SI may be delivered to the STB in-band (part of the data encoded within a channel) or out-of-band (using a special channel dedicated to the purpose). Electronically delivered SI may be partially duplicated in more traditional forms - grids published in newspapers and magazines.

In order for a viewer to have a satisfying television experience, it is generally desirable that the viewer have clear access to both audio and video content. Some analog cable systems have used various filtering techniques to obscure the video to prevent an unauthorized viewer from receiving programming that has not been paid for. In such a system, the analog audio is sometimes sent in the clear. In the Motorola VideoCipher 2 Plus system used in C-band satellite transmissions, strong digital audio encryption is used in conjunction with a relatively weak protection of the analog video (using sync inversion). In airline in-flight movie systems, the availability of audio only through rental of headphones has been used to provide the full audio and video only to paying customers.

## **BRIEF DESCRIPTION OF THE DRAWINGS**

The features of the invention believed to be novel are set forth with particularity in the appended claims. The invention itself however, both as to organization and method of operation, together with objects and advantages thereof, may be best understood by reference to the following detailed description of the invention, which describes certain exemplary embodiments of the invention, taken in conjunction with the accompanying drawings in which:

**FIGURE 1** is a block diagram of a conventional conditional access cable system.

1           **FIGURE 2** is a block diagram of a system consistent with one embodiment  
2 of the present invention in which dual encrypted audio is transmitted along with  
3 clear video.

4           **FIGURE 3** is a block diagram of a system consistent with an embodiment  
5 of the present invention in which portions of programming are dual encrypted  
6 according to a time slice mechanism.

7           **FIGURE 4** is a flow chart of a dual encryption process consistent with certain  
8 embodiments of the present invention.

9           **FIGURE 5** is a flow chart of a decryption process consistent with certain  
10 embodiments of the present invention.

11           **FIGURE 6** is a block diagram of a system consistent with an embodiment  
12 of the present invention in which portions of programming are dual encrypted on a  
13 packet basis.

14           **FIGURE 7** is a flow chart of a dual encryption process consistent with certain  
15 embodiments of the present invention.

16           **FIGURE 8** is a flow chart of a decryption process consistent with certain  
17 embodiments of the present invention.

18           **FIGURE 9** is a block diagram of a system consistent with an embodiment  
19 of the present invention in which system information is encrypted and programming  
20 is sent in the clear.

21           **FIGURE 10** is a block diagram of a generic system consistent with various  
22 embodiments of the present invention.

23           **FIGURE 11** is a block diagram of a first embodiment of implementation of  
24 an encryption system consistent with embodiments of the present invention in a  
25 cable system headend.

26           **FIGURE 12** is a block diagram of a second embodiment of implementation  
27 of an encryption system consistent with embodiments of the present invention in  
28 a cable system headend.

29           **FIGURE 13** is a flow chart of an overall encryption process used to

1 implement certain embodiments of the present invention in a cable system  
2 headend.

3 **FIGURE 14** is a block diagram of a first embodiment of a set-top box  
4 implementation of a decoding system consistent with embodiments of the  
5 present invention.

6 **FIGURE 15** is a block diagram of a second embodiment of  
7 implementation of a decoding system consistent with embodiments of the  
8 present invention in a cable system STB.

9 **FIGURE 16** is a block diagram of a third embodiment of implementation  
10 of a decoding system consistent with embodiments of the present invention in a  
11 cable system STB.

12 **FIGURE 17** illustrates the PID remapping process carried out in one  
13 embodiment of a set-top box PID re-mapper.

14 **FIGURE 18** is a block diagram of an exemplary decoder chip that can be  
15 utilized in a television set-top box consistent with the present invention.

## 16 17 **DETAILED DESCRIPTION OF THE INVENTION**

18 While this invention is susceptible of embodiment in many different forms,  
19 there is shown in the drawings and will herein be described in detail specific  
20 embodiments, with the understanding that the present disclosure is to be  
21 considered as an example of the principles of the invention and not intended to limit  
22 the invention to the specific embodiments shown and described. In the description  
23 below, like reference numerals are used to describe the same, similar or  
24 corresponding parts in the several views of the drawings. The terms "scramble"  
25 and "encrypt" and variations thereof are used synonymously herein. Also, the term  
26 "television program" and similar terms can be interpreted in the normal  
27 conversational sense, as well as a meaning wherein the term means any segment  
28 of A/V content that can be displayed on a television set or similar monitor device.  
29



## OVERVIEW

Modern digital cable networks generally use CA systems that fully encrypt digital audio and video to make programming inaccessible except to those who have properly subscribed. Such encryption is designed to thwart hackers and non-subscribers from receiving programming that has not been paid for. However, as cable operators wish to provide their subscribers with set-top boxes from any of several manufacturers, they are frustrated by the need to transmit multiple copies of a single program encrypted with multiple encryption technologies compliant with the CA systems of each STB manufacturer.

This need to carry multiple copies of the programming (called "full dual carriage") uses up valuable bandwidth that could be used to provide the viewer with additional programming content. Certain embodiments of the present invention address this problem in which the bandwidth requirements to provide an equivalent to multiple carriage are minimized. The result could be described as "Virtual Dual Carriage" since the benefits of full dual carriage are provided without the full bandwidth cost. Several embodiments of the present invention are presented herein to accomplish effective partial scrambling. These embodiments vary by the criteria used to select the portion to encrypt. The portion selected in turn affects the additional bandwidth requirements and the effectiveness of the encryption. It may be desirable to use one encryption process or several processes in combination in a manner consistent with embodiments of the present invention.

Certain of the implementations of partial dual encryption described herein utilize an additional (secondary) PID for each duplicated component. These secondary PIDs are used to tag packets that carry duplicated content with an additional encryption method. The PSI is enhanced to convey information about the existence these new PIDs in such a way that inserted PIDs are ignored by legacy STBs but can be easily extracted by new STBs.

Some implementations of partial dual encryption involve duplicating only certain packets tagged with a given PID. Methods for selecting which packets to encrypt are detailed hereinafter. The original (i.e. legacy) PID continues to tag the

1 packets encrypted with legacy encryption as well as other packets sent in the clear.  
2 The new PID is used to tag packets encrypted by the second encryption method.  
3 Packets with the secondary PID shadow the encrypted packets tagged with the  
4 primary PID. The packets making up the encrypted pairs can occur in either order  
5 but, in the preferred implementation, maintain sequence with the clear portion of  
6 the PID stream. By use of the primary and secondary PIDs, the decoder located  
7 in the set-top box can readily determine which packets are to be decrypted using  
8 the decryption method associated with that set-top box, as will be clear upon  
9 consideration of the following description. The processes used to manipulate PIDs  
10 will be described later in greater detail.

11 The encryption techniques described herein can be broadly categorized  
12 (according to one categorization) into three basic variations - encrypting just a  
13 major portion (i.e. audio), encrypting just the SI, and encrypting just selected  
14 packets. In general, each of the encryption techniques used in the embodiments  
15 disclosed herein seek to encrypt portions of the an A/V signal or associated  
16 information while leaving other portions of the A/V signal in the clear to conserve  
17 bandwidth. Bandwidth can be conserved because the same clear portion can be  
18 sent to all varieties of set-top boxes. Various methods are used to select the  
19 portions of information to be encrypted. By so doing, the various embodiments of  
20 this invention eliminate the traditional "brute-force" technique of encrypting the  
21 entire content in one specific scrambling scheme, which predicates the redundant  
22 use of bandwidth if alternate scrambling schemes are desired. In addition, each  
23 of the partial dual encryption schemes described herein can be used as a single  
24 partial encryption scheme without departing from embodiments of the present  
25 invention.

26 The various embodiments of the invention use several processes, alone or  
27 in combination, to send substantial portions of content in the clear while encrypting  
28 only a small amount of information required to correctly reproduce the content.  
29 Therefore the amount of information transmitted that is uniquely encrypted in a  
30 particular scrambling scheme is a small percentage of the content, as opposed to

1 the entire replication of each desired program stream. For purposes of the  
2 exemplary systems in this document, encryption system A will be considered the  
3 legacy system throughout. Each of the several encryption techniques described  
4 above will now be described in detail.

5 The various embodiments of the invention allow each participating CA  
6 system to be operated independently. Each is orthogonal to the other. Key sharing  
7 in the headend is not required since each system encrypts its own patents.  
8 Different key epochs may be used by each CA system. For example, packets  
9 encrypted with Motorola's proprietary encryption can use fast changing encryption  
10 keys using the embedded security ASIC, while packets encrypted with NDS' smart  
11 card based system use slightly slower changing keys. This embodiment works  
12 equally well for Scientific Atlanta and Motorola legacy encryption.

#### 13 ENCRYPTED ELEMENTARY STREAM

14 Turning now to **FIGURE 2**, one embodiment of a system that reduces the  
15 need for additional bandwidth to provide multiple carriage is illustrated as system  
16 100. In this embodiment, the system takes advantage of the fact that viewing  
17 television programming without audio is usually undesirable. While there are  
18 exceptions (e.g., adult programming, some sporting events, etc.), the typical viewer  
19 is unlikely to accept routine viewing of television programming without being able  
20 to hear the audio. Thus, at headend 122, the video signal 104 is provided in the  
21 clear (unencrypted) while the clear audio 106 is provided to multiple CA systems  
22 for broadcast over the cable network. In the exemplary system 100, clear audio  
23 106 is provided to an encryption system 118 that encrypts audio data using  
24 encryption system A (encryption system A will be considered the legacy system  
25 throughout this document). Simultaneously, clear audio 106 is provided to  
26 encryption system 124 that encrypts the audio data using encryption system B.  
27 Clear video is then multiplexed along with encrypted audio from 118 (Audio A) and  
28 encrypted audio from 124 (Audio B), system information 128 and program specific  
29 information 129.  
30

1 After distribution through the cable system 32, the video, system information,  
2 program specific information, Audio A and Audio B are all delivered to set-top  
3 boxes 36 and 136. At legacy STB 36, the video is displayed and the encrypted  
4 audio is decrypted at CA system A 40 for play on television set 44. Similarly, at  
5 new STB 136, the video is displayed and the encrypted audio is decrypted at CA  
6 system B 140 for play on television set 144.

7 Audio has a relatively low bandwidth requirement compared with a complete  
8 A/V program (or even just the video portion). The current maximum bit rate for  
9 stereophonic audio at 384 Kb/second is approximately 10% of a 3.8Mb/second  
10 television program. Thus, for dual carriage of only encrypted audio (with video  
11 transmitted in the clear) in a system with ten channels carried with 256 QAM  
12 (quadrature amplitude modulation), a loss of only about one channel worth of  
13 bandwidth would occur. Therefore, approximately nine channels could be carried.  
14 This is a dramatic improvement over the need to dual encrypt all channels, which  
15 would result in a decrease in available channels from ten to five. Where deemed  
16 necessary, e.g., sporting events, pay per view, adult programming, etc., dual  
17 encryption of both audio and video can still be carried out, if desired.

18 Both legacy and new set-top boxes can function in a normal manner  
19 receiving video in the clear and decrypting the audio in the same manner used for  
20 fully decrypting encrypted A/V content. If the user has not subscribed to the  
21 programming encrypted according to the above scheme, at best the user can only  
22 view the video without an ability to hear the audio. For enhanced security over the  
23 video, it possible to employ other embodiments of the invention (as will be  
24 described later) here as well. (For example, the SI may be scrambled to make it  
25 more difficult for a non-authorized set-top box to tune to the video portion of the  
26 program.) Unauthorized set-top boxes that have not been modified by a hacker, will  
27 blank the video as a result of receipt of the encrypted audio.

28 Authorized set-top boxes receive Entitlement Control Messages (ECM) that  
29 are used to get access criteria and descrambling keys. The set-top box attempts  
30 to apply the keys to video as well as the audio. Since the video is not scrambled,

1 it simply passes through the set-top boxes' descrambler unaffected. The set-top  
2 boxes do not care that the video is in-the-clear. The un-modified and un-subscribed  
3 set-top boxes behave as being un-authorized for the scrambled audio as well as the  
4 clear video. The video, as well as the audio which was actually scrambled, will be  
5 blanked. An on-screen display may appear on the TV stating that the viewer needs  
6 to subscribe to programming. This desirably totally inhibits the casual viewer from  
7 both hearing and viewing the content.

8 In one embodiment of the present invention, the encrypted audio is  
9 transmitted as digitized packets over the A/V channel. Two (or more) audio  
10 streams are transmitted encrypted according to the two (or more) encryption  
11 systems in use by the system's set-top boxes. In order for the two (or more) STBs  
12 to properly decrypt and decode their respective audio streams, SI (system  
13 information) data are transmitted from the cable system's headend 122 that  
14 identifies the particular channel where the audio can be found using a transmitted  
15 Service Identifier to locate the audio. This is accomplished by assigning the audio  
16 for system A is a first packet identifier (PID) and assigning the audio for system B  
17 a second packet identifier (PID). By way of example, and not limitation, the  
18 following program specific information (PSI) can be sent to identify the location of  
19 the audio for two systems, one using NDS conditional access and one using  
20 Motorola conditional access. Those skilled in the art will understand how to adapt  
21 this information to the other embodiments of partial encryption described later  
22 herein.

23 The SI can be separately delivered to both legacy and non-legacy set-top  
24 boxes. It is possible to send SI information so that the legacy and non-legacy set-  
25 top boxes operate essentially without interference. In the SI delivered to legacy set-  
26 top boxes, the VCT (virtual channel table) would state that the desired program, e.g.  
27 HBO referenced as program number 1, is on Service ID "1" and that the VCT  
28 access control bit is set. The network information table (NIT) delivered to that first  
29 STB would indicate that Service ID "1" is at frequency = 1234. In the SI delivered  
30 to non-legacy set-top boxes, the VCT would state that the desired program, e.g.

1 HBO referenced as program number 1001, is on Service ID "1001" and that the  
2 VCT access control bit is set. The network information table delivered to the non-  
3 legacy STB would indicate that the Service ID "1001" is at frequency 1234. The  
4 following exemplary program association Table PSI data are sent to both legacy  
5 and non-legacy set-top boxes (in MPEG data structure format):  
6  
7

PAT sent on PID=0x0000

PAT 0x0000

- Transport Stream ID
- PAT version
- Program Number 1
  - PMT 0x0010
- Program Number 2
  - PMT 0x0020
- Program Number 3
  - PMT 0x0030
- Program Number 4
  - PMT 0x0040
- Program Number 5
  - PMT 0x0050
- Program Number 6
  - PMT 0x0060
- Program Number 7
  - PMT 0x0070
- Program Number 8
  - PMT 0x0080
- Program Number 9
  - PMT 0x0090
- Program Number 1001
  - PMT 0x1010
- Program Number 1002
  - PMT 0x1020
- Program Number 1003
  - PMT 0x1030
- Program Number 1004
  - PMT 0x1040
- Program Number 1005
  - PMT 0x1050
- Program Number 1006
  - PMT 0x1060
- Program Number 1007
  - PMT 0x1070
- Program Number 1008
  - PMT 0x1080
- Program Number 1009
  - PMT 0x1090

The following exemplary program map table PSI data are selectively received by legacy and non-legacy set-top boxes (in MPEG data structure format):

PMT sent on PID=0x0010

PMT 0x0010

- PMT Program number 1
- PMT Section Version 10
- PCR PID 0x0011
- Elementary Stream
  - Stream Type (Video 0x02 or 0x80)
  - Elementary PID (0x0011)
  - Descriptor
  - CA Descriptor (ECM) for CA provider #1
- Elementary Stream
  - Stream Type (Audio 0x81)
  - Elementary PID (0x0012)
  - Descriptor
  - CA Descriptor (ECM) for CA provider #1

PMT sent on PID=0x1010

PMT 0x1010

- PMT Program number 1010
- PMT Section Version 10
- PCR PID 0x0011
- Elementary Stream
  - Stream Type (Video 0x02 or 0x80)
  - Elementary PID (0x0011)
  - Descriptor
  - CA Descriptor (ECM) for CA provider #2
- Elementary Stream
  - Stream Type (Audio 0x81)
  - Elementary PID (0x0013)
  - Descriptor
  - CA Descriptor (ECM) for CA provider #2

Considering an example wherein it is desired to deliver programming in a system using either Motorola or Scientific Atlanta as well as NDS CA, the above communications are consistent with the PSI delivered by both Motorola and Scientific Atlanta in their CA systems, with only minor changes. The program association table (PAT) is changed to reference an additional program map table (PMT) for each program. Each program in this embodiment has two program numbers in the PAT. In the table above, program number 1 and program number 1001 are the same program except that they will reference different audio PIDs and



1 CA descriptors. Changes in the system to create multiple PMTs and to multiplex  
2 new PAT and PMT information with the data stream can be made to appropriately  
3 modify the cable system headend equipment. Again, those skilled in the art will  
4 understand how to adapt these messages to other partial encryption schemes  
5 described herein. An advantage of this approach is that no special hardware or  
6 software is required for headend or for legacy and non-legacy set-top boxes to  
7 deliver audio that is both legacy and non-legacy encrypted using this scheme.

8 This technique deters the user from use of premium programming which has  
9 not been paid for by rendering it inaudible, but a hacker may attempt to tune the  
10 video. To combat this, the mechanisms employed in other encryption techniques  
11 consistent with the present invention (as will be described later) can be employed  
12 simultaneously, if desired. Since closed captioning is generally transmitted as a  
13 part of the video data, the user can still obtain readable audio information in  
14 conjunction with clear video. Thus, although adequate for some applications, the  
15 present technique alone may not provide adequate protection in all scenarios. In  
16 another embodiment, video packets containing closed captioning information as  
17 a part of the payload can additionally be scrambled.

18 In an alternative embodiment, only the video may be dual encrypted with  
19 separate PIDs assigned to each set of encrypted video. While this may provide a  
20 more secure encryption for general programming (since video may be more  
21 important than audio), the amount of bandwidth savings compared with full dual  
22 carriage is only approximately ten percent, since only the audio is shared amongst  
23 all the set-top boxes. However, this approach might be used for certain content,  
24 e.g. adult and sports, and help reduce the bandwidth overhead for that content  
25 while the audio encryption approach may be used for other content types. In the  
26 Digital Satellite Service (DSS) transport standard used for the DirecTV™ service,  
27 the audio packets can be identified for encryption by use of the service channel  
28 identifier (SCID) which is considered equivalent.

## TIME SLICING

Another embodiment consistent with the present invention is referred to herein as time slicing and is illustrated in **FIGURE 3** as system 200. In this embodiment, a portion of each program is encrypted on a time dependent basis in a manner that disrupts viewing of the program unless the user has paid for the programming. This embodiment of the invention can be implemented as partially encrypted video and clear audio, clear video and partially encrypted audio or partially encrypted video and audio. The duration of the time slice that is encrypted, taken as a percentage of the total time, can be selected to meet any suitable desired balance of bandwidth usage, security against hackers. In general, under any of the embodiments described herein, less than 100 percent of the content is encrypted to produce a desired partial encryption. . The following example details partially encrypted video and audio.

By way of example, and not limitation, consider a system which has nine programs that are to be dual partially encrypted according to the present exemplary embodiment. These nine channels are fed to the cable headend as a multiplexed stream of packets and are digitally encoded using packet identifiers (PID) to identify packets associated with a particular one of the nine programs. In this example, assume that those nine programs have video PIDs numbered 101-109 and audio PIDs numbered 201-209. The partial encryption, according to this embodiment is time multiplexed among the programs so that only packets from a single program are encrypted at any given time. The method does not need to be content aware.

With reference to **TABLE 1** below, an exemplary embodiment of a time slice dual encryption scheme consistent with an embodiment of the invention is illustrated. For program 1 having primary video PID 101 and primary audio PID 201, during the first time period, packets having PID 101 and PID201 are encrypted using encryption system A, while the others representing the other programs are sent in the clear. In this embodiment, secondary PIDs are also assigned to both the video and the audio. The secondary PIDs are PID 111 for video and PID 211 for

1 audio respectively for program 1. The packets with the secondary PIDs are  
2 encrypted using encryption system B during the first time period. The next eight  
3 time periods are sent in the clear. Then for time period 10, packets having any of  
4 the above four PIDs are again encrypted followed by the next eight time periods  
5 being sent in the clear. In a similar manner, during the second period of program  
6 2 having primary video PID 102 and primary audio PID 201 are encrypted using  
7 encryption system A and packets with their associated secondary PIDs are  
8 encrypted using encryption system B, and during the next eight time periods are  
9 sent in the clear, and so on. This pattern can be seen clearly in **TABLE 1** by  
10 examination of the first nine rows. Both audio and video packets, or audio  
11 alone or video alone can be encrypted according to this technique, without  
12 departing from the invention. Also, the audio and video can have their own  
13 individual encryption sequence. In **TABLE 1**, P1 indicates time period number 1,  
14 P2 indicated time period number 2 and so on. EA indicates that the information is  
15 encrypted using CA system A and EB indicates that the information is encrypted  
16 using CA encryption system B.  
17

PROG.	VIDEO PID	AUDIO PID	P1	P2	P3	P4	P5	P6	P7	P8	P9	P10	P11	P12	...
1	PID 101	PID 201	EA	clear	clear	clear	clear	clear	clear	clear	clear	EA	clear	clear	...
2	PID 102	PID 202	clear	EA	clear	clear	clear	clear	clear	clear	clear	clear	EA	clear	...
3	PID 103	PID 203	clear	clear	EA	clear	clear	clear	clear	clear	clear	clear	clear	EA	...
4	PID 104	PID 204	clear	clear	clear	EA	clear	clear	clear	clear	clear	clear	clear	clear	...
5	PID 105	PID 205	clear	clear	clear	clear	EA	clear	clear	clear	clear	clear	clear	clear	...
6	PID 106	PID 206	clear	clear	clear	clear	clear	EA	clear	clear	clear	clear	clear	clear	...
7	PID 107	PID 207	clear	clear	clear	clear	clear	clear	EA	clear	clear	clear	clear	clear	...
8	PID 108	PID 208	clear	clear	clear	clear	clear	clear	clear	EA	clear	clear	clear	clear	...
9	PID 109	PID 209	clear	clear	clear	clear	clear	clear	clear	clear	EA	clear	clear	clear	...
1	PID 111	PID 211	EB									EB			...
2	PID 112	PID 212		EB									EB		...
3	PID 113	PID 213			EB									EB	...
4	PID 114	PID 214				EB									...
5	PID 115	PID 215					EB								...
6	PID 116	PID 216						EB							...
7	PID 117	PID 217							EB						...
8	PID 118	PID 218								EB					...
9	PID 119	PID 219									EB				...

TABLE 1

In order to retain compatibility with an established legacy encryption system (encryption system A), the encrypted periods for each of programs one through nine are encrypted using encryption system A. Legacy STB equipment will accept such partially encrypted A/V data streams passing unencrypted packets and decrypting encrypted packets transparently. However, it is desired to obtain dual encryption using both encryption system A and encryption system B. In order to achieve this, a specified program is assigned both primary PIDs (e.g., for program 1, video PID 101 and audio PID 201) and a secondary PID (e.g., for program 1, video PID 111 and audio PID 211) to carry the elementary data streams for a given premium channel.

With reference to **FIGURE 3**, system 200 generally depicts the functionality of the cable system headend 222 wherein N channels of clear video 204 at the headend 222 are provided to an intelligent switch 216 (operating under control of a programmed processor) which routes packets that are to be transmitted in the clear to be assigned a primary PID at 220. Packets that are to be encrypted are

1 routed to both conditional access system A encrypter 218 and to conditional  
2 access system B encrypter 224. Once encrypted, these encrypted packets from  
3 218 and 224 are assigned primary or secondary PIDs respectively at 220. System  
4 information from 228 is multiplexed or combined with the clear packets, the system  
5 A encrypted packets and the system B encrypted packets and broadcast over the  
6 cable system 32.

7 For discussion purposes, if the period of the time slice is 100 milli-seconds,  
8 then as shown in **TABLE 1**, there are on average one and a fraction encrypted  
9 periods totaling 111 milli-seconds each second for all nine-programs. If the period  
10 is 50 milli-seconds, then there are on average two and a fraction encrypted periods  
11 totaling 111 milli-seconds. A non-subscribing box attempting to tune video would  
12 obtain a very poor image if it could maintain any sort of image lock and the audio  
13 would be garbled.

14 The PSI for a partially scrambled stream is handled slightly differently from  
15 the dual audio encryption example above. Essentially, the same SI and PAT PSI  
16 information can be sent to both legacy and non-legacy set-top boxes. The  
17 difference lies with the PMT PSI information. The legacy set-top box parses the  
18 PMT PSI and obtains the primary video and audio PIDs as before. The non-legacy  
19 set-top box obtains the primary PIDs like the legacy set-top box but must look at the  
20 CA descriptors in the PMT PSI to see if the stream is partially scrambled. The  
21 secondary PID is scrambled specifically for a particular CA provider, consequently  
22 it makes sense to use the CA descriptor specific to a particular CA provider to  
23 signal that PID. The invention can allow more than two CA providers to co-exist by  
24 allowing more than one secondary PID. The secondary PID shall be unique to a  
25 particular CA provider. The set-top box know the CA ID for the CA it has, and can  
26 check all CA descriptors for the relevant one for it.

27 While it is possible to send the secondary PID data as private data in the  
28 same CA descriptor used for the ECM, the preferred embodiment uses separate  
29 CA descriptors. The secondary PID is placed in the CA PID field. This allows  
30 headend processing equipment to "see" the PID without having to parse the private

1 data field of the CA descriptor. To tell the difference between the ECM and  
2 secondary PID CA descriptor, a dummy private data value can be sent.

3  
4  
5 PMT sent on PID=0x0010

6 PMT 0x0010

- 7 - PMT Program number 1  
8 - PMT Section Version 10  
9 - PCR PID 0x0011  
10 - Elementary Stream  
11 - Stream Type (Video 0x02 or 0x80)  
12 - Elementary PID (0x0011)  
13 - Descriptor  
14 - CA Descriptor (ECM) for CA provider #1  
15 - CA Descriptor (ECM) for CA provider #2  
16 - CA Descriptor (Secondary PID) for CA provider #2  
17 - Elementary Stream  
18 - Stream Type (Audio 0x81)  
19 - Elementary PID (0x0012)  
20 - Descriptor  
21 - CA Descriptor (ECM) for CA provider #1  
22 - CA Descriptor (ECM) for CA provider #2  
23 - CA Descriptor (Secondary PID) for CA provider #2

24  
25  
26 CA Descriptor for CA Provider #2 (ECM)

27  
28  
29 Descriptor

- 30 - Tag: Conditional Access (0x09)  
31 - Length: 4 Bytes  
32 - Data  
33 - CA System ID: 0x0942 (2<sup>nd</sup> CA provider)  
34 - CA PID (0x0015)  
35  
36  
37

38  
39 CA Descriptor for CA Provider #2 (Secondary PID)

40 Descriptor

- 41 - Tag: Conditional Access (0x09)  
42 - Length: 5 Bytes  
43 - Data  
44 - CA System ID: 0x1234 (2<sup>nd</sup> CA provider)  
45 - CA PID (0x0016)  
46 - Private Data  
47

1  
2 Legacy STB 36 operating under CA system A receives the data, ignores  
3 the secondary PIDs, decrypts the packets encrypted under CA system A and  
4 presents the program to the television set 44. New or non-legacy STB 236  
5 receives the SI 228. It receives PSI 229 and uses the PMT to identify the  
6 primary and secondary PID, called out in the second CA descriptor, associated  
7 with the program being viewed. The packets encrypted under CA system A are  
8 discarded and the packets encrypted under CA system B with the secondary  
9 PID are decrypted by CA system B 240 and inserted into the clear data stream  
10 for decoding and display on television set 244.

11 **FIGURE 4** illustrates one process for encoding at the cable system headend  
12 that can be used to implement an embodiment of the present invention wherein CA  
13 system A is the legacy system and CA system B is the new system to be  
14 introduced. As a clear packet is received, at 250 for a given program, if the packet  
15 (or frame) is not to be encrypted (i.e., it is not the current time slice for encryption  
16 for this program), the clear packet (C) is passed on to be inserted into the output  
17 stream at 254. If the current packet is to be encrypted by virtue of the current  
18 packet being a part of the encryption time slice, the packet is passed for encryption  
19 to both packet encryption process A 258 and packet encryption process B 262.  
20 The encrypted packets from encryption process A at 258 (EA) are passed on to 254  
21 for insertion into the output stream. The encrypted packets from encryption  
22 process B at 262 (EB) are assigned a secondary PID at 264 for insertion into the  
23 output stream at 254. This is repeated for all packets in the program.

24 **FIGURE 5** illustrates a process used in the STB 236 having the newly  
25 introduced CA system B for decrypting and decoding the received data stream  
26 containing C, EA and EB packets having primary and secondary PIDs as  
27 described. When a packet is received at 272, it is inspected to see if it has a the  
28 primary PID of interest. If not, the packet is examined to see if it has the secondary  
29 PID of interest at 274. If the packet has neither the primary or secondary PID, it is

1 ignored or dropped at 278. Any intervening packets between the EA and EB  
2 packets that are not the primary or secondary PID are discarded. It is an  
3 implementation and mainly a buffering issue whether a decoder can receive  
4 multiple EA or EB in a row before receiving the replacement matched EA or EB  
5 packet. Also, just as easy to detect for secondary packets that come before and  
6 not after the primary packet. It is also possible to design a circuit where either  
7 case can happen – the secondary packet can be before or after the primary packet.  
8 If the packet has the primary PID of interest, the packet is examined at 284 to  
9 determine if it is encrypted. If not, the packet (C) is passed directly to the decoder  
10 at 288 for decoding. If the packet is encrypted at 284, it is deemed to be an EA  
11 packet and is dropped or ignored at 278. In some implementations, the primary  
12 packet's encryption does not get checked at 284. Rather, its simple position  
13 relative to the secondary packet can be checked at 284 to identify it for  
14 replacement.

15 If the packet has the secondary PID at 274, the PID is remapped to the  
16 primary PID at 292 (or equivalently, the primary PID is remapped to the secondary  
17 PID value). The packet is then decrypted at 296 and sent to the packet decoder at  
18 288 for decoding. Of course, those skilled in the art will recognize that many  
19 variations are possible without departing from the invention, for example, the order  
20 of 292 and 296 or the order of 272 and 274 can be reversed. As mentioned earlier,  
21 284 can be replaced with a check of primary packet position with respect to the  
22 secondary packet. Other variations will occur to those skilled in the art.

23 Legacy STB 36 operating under the encryption system A totally ignores the  
24 secondary PID packets. Packets with the primary PID are decrypted, if necessary,  
25 and passed to the decoder without decryption if they are clear packets. Thus, a so  
26 called "legacy" STB operating under encryption system A will properly decrypt and  
27 decode the partially encrypted data stream associated with the primary PID and  
28 ignore the secondary PID without modification. STBs operating under the  
29 encryption system B are programmed to ignore all encrypted packets associated



1 with the primary PID and to use the encrypted packets transmitted with the  
2 secondary PID associated with a particular channel.

3 Thus, each dual partially encrypted program has two sets of PIDs associated  
4 therewith. If, as described, the encryption is carried out on a period-by-period  
5 basis, for the system shown with an appropriate time slice interval, the picture will  
6 be essentially unviewable on a STB with neither decryption.

7 In order to implement this system in the headend 322 of **FIGURE 6**, the SI  
8 and PSI can be modified for inclusion of a second set of CA descriptor information.  
9 Legacy set-top boxes may not be able to tolerate unknown CA descriptors.  
10 Consequently, alternatively, in the set-top box, it may be possible to "hard code"  
11 offsets from the legacy CA PIDs for both the content PIDs and/or the SI/PSI and  
12 ECM PIDs. Alternatively, parallel PSI may be sent. For example, an auxiliary PAT  
13 can be delivered on PID 1000 instead of PID 0 for the non-legacy set-top boxes. It  
14 can reference auxiliary PMTs not found in the legacy PAT. The auxiliary PMTs can  
15 contain the non-legacy CA descriptors. Since auxiliary PMTs would not be known  
16 to the legacy set-top boxes, there would not be any interoperation issue.

17 In systems where system A corresponds to legacy set-top boxes  
18 manufactured by Motorola or Scientific Atlanta, no modifications to the STBs are  
19 required. For the system B compliant STBs, for dual carriage of partially encrypted  
20 programs as described herein, the video and audio decoder are adapted to listen  
21 to two PIDs each (a primary and a secondary PID) instead of just one. There may  
22 be one or more secondary shadow PIDs, depending on the number of non-legacy  
23 CA systems in use, however a specific set-top box only listens to one of the  
24 secondary PIDs as appropriate for the CA method being used by that specific STB.  
25 In addition, ideally the encrypted packets from the PID carrying the mostly clear  
26 video or audio are ignored. Since ignoring "bad packets" (those that cannot be  
27 readily decoded as is) may already be a function that many decoders perform, thus  
28 requiring no modification. For systems with decoders that do not ignore bad  
29 packets, a filtering function can be used. It should be understood that the time  
30 slice encryption technique could be applied to just the video or the audio. Also, the

1 video may be time slice encrypted while the audio is dual encrypted as in the  
2 earlier embodiment. The time slice technique may be applied to multiple programs  
3 concurrently. The number of programs that encrypted during a period of time is  
4 mainly an issue of bandwidth allocation, and although the example discusses  
5 scrambling a single program at a time, the invention is not limited by that. Other  
6 combinations of encryption techniques described in this document will also occur  
7 to those skilled in the art.

#### 8 9 10 M<sup>TH</sup> AND N PACKET ENCRYPTION

11 Another embodiment consistent with the present invention is referred to  
12 herein as M<sup>th</sup> & N packet encryption. This is a variation of the embodiment  
13 illustrated in **FIGURE 3** as system 200. In this embodiment, packets of each PID  
14 representing a program are encrypted in a manner that disrupts viewing of the  
15 program unless the user has paid for the programming. In this embodiment, M  
16 represents the number of packets between the start of an encryption event. N  
17 represents the number of packets that are encrypted in a row, once encryption  
18 takes place. N is less than M. If M=9 and N=1, then every nine packets there is an  
19 encryption event lasting 1 packet. If M=16 and N=2, then every sixteen packets  
20 there is an encryption event lasting two packets. Each packet to be dual partially  
21 encrypted is duplicated and processed using CA system A 218 and CA system B  
22 224 as in the previous embodiment. The difference in operation between this  
23 embodiment and the time slicing technique previously is in the operation of switch  
24 216 to effect the selection of packets to encrypt under control of a programmed  
25 processor.

26 By way of example, and not limitation, consider a system which has nine  
27 channels of programming that are to be dual encrypted according to the present  
28 exemplary embodiment. These nine channels are digitally encoded using packet  
29 identifiers (PID) to identify packets associated with a particular one of nine  
30 programs. In this example, assume that those nine programs have video PIDs

numbered 101-109 and audio PIDs numbered 201-209. The encryption, according to this embodiment is random program-to-program so that packets from other programs may be encrypted at the same time. This is illustrated in **TABLE 2** below in which M=6 and N=2 and in which only video is encrypted, but this should not be considered limiting. The method does not need to be content aware. In **TABLE 2**, PK1 indicated packet number 1, PK2 indicates packet number 2, and so on.

PROG.	VIDEO	PK1	PK2	PK3	PK4	PK5	PK6	PK7	PK8	PK9	PK10	PK11	PK12	...
1	PID 101	EA	EA	clear	clear	clear	clear	EA	EA	clear	clear	clear	clear	...
2	PID 102	clear	clear	clear	EA	EA	clear	clear	clear	clear	EA	EA	clear	...
3	PID 103	clear	clear	EA	EA	clear	clear	clear	clear	EA	EA	clear	clear	...
4	PID 104	clear	clear	clear	EA	EA	clear	clear	clear	clear	EA	EA	clear	...
5	PID 105	clear	clear	EA	EA	clear	clear	clear	clear	EA	EA	clear	clear	...
6	PID 106	EA	clear	clear	clear	clear	EA	EA	clear	clear	clear	clear	EA	...
7	PID 107	EA	EA	clear	clear	clear	clear	EA	EA	clear	clear	clear	clear	...
8	PID 108	clear	EA	EA	clear	clear	clear	clear	EA	EA	clear	clear	clear	...
9	PID 109	EA	clear	clear	clear	clear	EA	EA	clear	clear	clear	clear	EA	...
1	PID 111	EB	EB					EB	EB					...
2	PID 112				EB	EB					EB	EB		...
3	PID 113			EB	EB					EB	EB			...
4	PID 114				EB	EB					EB	EB		...
5	PID 115			EB	EB					EB	EB			...
6	PID 116	EB					EB	EB					EB	...
7	PID 117	EB	EB					EB	EB					...
8	PID 118		EB	EB					EB	EB				...
9	PID 19	EB					EB	EB					EB	...

**TABLE 2**

In the example of **TABLE 2**, each program is encrypted fully independently of the others using the M=6 and N=2 encryption scheme. Again, the illustrated example encrypts only the video, but audio could also be encrypted according to

1 this or another arrangement. If applied to just the video, audio may be dual  
2 scrambled or time slice encrypted as in earlier embodiments. Alternatively, if  
3 applied to just the audio, the video may be time sliced as in the earlier  
4 embodiment.

5 Those skilled in the art will recognize that many variations of the technique  
6 can be devised consistent with the partial scrambling concepts disclosed herein.  
7 For example, a pattern of five clear followed by two encrypted followed by two clear  
8 followed by one encrypted (CCCCCEECCECCCCCEECCE...) is consistent with  
9 variations of the present partial encryption concept, as are random, pseudo-random  
10 and semi-random values for M and N may be used for selection of packets to  
11 encrypt. Random, pseudo-random or semi-random (herein collectively referred to  
12 as "random" herein) selection of packets can make it difficult for a hacker to  
13 algorithmically reconstruct packets in a post processing attempt to recover  
14 recorded scrambled content. Those skilled in the art will understand how to adapt  
15 this information to the other embodiments of partial encryption described later  
16 herein. Some of the embodiments can be used in combination to more effectively  
17 secure the content.  
18

#### 19 DATA STRUCTURE ENCRYPTION

20 Another partial encryption method consistent with embodiments of the  
21 present invention uses a data structure as a basis for encryption. By way of  
22 example and not limitation, one convenient data structure to use for encryption is  
23 an MPEG video frame. This is illustrated (again with video only) in **TABLE 3** below  
24 in which every tenth video frame is encrypted. In this embodiment, each program's  
25 ten frame encryption cycle is distinct from each other channel, but this should not  
26 be considered limiting. This concept can be viewed as a variation of the time slice  
27 or  $M^{\text{th}}$  and N partial encryption arrangement (or other pattern) based upon video or  
28 audio frames (or some other data structure) with the exemplary embodiment having  
29  $M=10$  and  $N=1$ . Of course, other values of M and N can be used in a similar

embodiment. In **TABLE 3**, F1 represents frame number 1, F2 represents frame number 2 and so on.

PROG.	VIDEO	F1	F2	F3	F4	F5	F6	F7	F8	F9	F10	F11	F12	...
1	PID 101	EA	clear	clear	clear	clear	clear	clear	clear	clear	clear	EA	clear	...
2	PID 102	clear	clear	clear	EA	clear	clear	clear	clear	clear	clear	clear	clear	...
3	PID 103	clear	clear	EA	clear	clear	clear	clear	clear	clear	clear	clear	clear	...
4	PID 104	clear	clear	clear	clear	EA	clear	clear	clear	clear	clear	clear	clear	...
5	PID 105	clear	clear	clear	EA	clear	clear	clear	clear	clear	clear	clear	clear	...
6	PID 106	EA	clear	clear	clear	clear	clear	clear	clear	clear	clear	EA	clear	...
7	PID 107	clear	EA	clear	clear	clear	clear	clear	clear	clear	clear	clear	EA	...
8	PID 108	clear	EA	clear	clear	clear	clear	clear	clear	clear	clear	clear	EA	...
9	PID 109	EA	clear	clear	clear	clear	clear	clear	clear	clear	clear	EA	clear	...
1	PID 111	EB										EB		...
2	PID 112				EB									...
3	PID 113			EB										...
4	PID 114					EB								...
5	PID 115				EB									...
6	PID 116	EB										EB		...
7	PID 117		EB										EB	...
8	PID 118		EB										EB	...
9	PID 119	EB										EB		...

**TABLE 3**

Thus, again each encrypted program has two sets of PIDs associated therewith. If, as described, the encryption is carried out on a period-by-period basis, for the system shown, the picture will be essentially unviewable. For a nine program system at 30 frames per second as depicted, approximately three frames per second will be encrypted. For viewers who are not entitled to view the program, their STB will be unable to capture much more than an occasional frozen frame as the STB constantly attempts to synchronize and recover. Viewers who have

1 subscribed to the programming will be able to readily view the programming. The  
2 bandwidth cost for such an encryption arrangement depends upon the frequency  
3 with which the encryption is applied. In the above example, an extra factor of 1/9  
4 of data are transmitted for each program. In this example, approximately one  
5 program's worth of bandwidth is used. With a greater number of programs, fewer  
6 packets per program are encrypted and the security of the encryption system may  
7 degrade somewhat. As in the randomized M and N method, random frames may  
8 be selected. Choosing random frames, in the video case, would help guarantee  
9 that all frame types would be affected – intra-coded frames (I frames), predictive-  
10 coded (P frames), Bi-directional-coded (B frames) and DC frames.

11 In a variation of the invention, it may be possible to encrypt fewer packets to  
12 achieve an acceptable level of security. That is, perhaps in a system of nine  
13 programs, only one frame per second may need to be encrypted to achieve  
14 acceptable levels of security. In such a system, the overhead becomes one  
15 encrypted period per second per program or approximately 1/30 of data transmitted  
16 in overhead. This level of overhead is a dramatic improvement over the 50% loss  
17 of bandwidth associated with full dual carriage of encryption under two encryption  
18 systems. In another variation of the invention, it may be possible to encrypt only  
19 certain video frames to achieve an acceptable level of security. For example, for  
20 MPEG content, only intra-coded frames (I frames) may be scrambled to further  
21 reduce the bandwidth overhead and still maintain an acceptable level of security.  
22 These offer significant improvement over the bandwidth required for full dual  
23 carriage.

## 24 25 26 CRITICAL PACKET ENCRYPTION

27 Substantial efficiency in bandwidth utilization can be achieved by use of a  
28 selective packet-by-packet dual encryption technique. In this technique, packets  
29 are selected for encryption based upon their importance to the proper decoding of  
30 the audio and/or video of the program content.

1           This embodiment can reduce the bandwidth requirement compared with full  
2 dual carriage of encrypted content by only scrambling a small fraction of the  
3 packets. Clear packets are shared between the two (or more) dual carriage PIDs.  
4 In one preferred embodiment, as will be disclosed, less than about one percent of  
5 the total content bandwidth is used. In a system with a legacy encryption scheme,  
6 clear program content packets can be received by both legacy and new set-top  
7 boxes. As mentioned before, encrypted packets are dual carried and processed  
8 by the respective set-top boxes with the appropriate CA. Each CA system is  
9 orthogonal. Key sharing is not required and different key epochs may be used by  
10 each CA system. For example, a system with Motorola's proprietary encryption can  
11 generate fast changing encryption keys using the embedded security ASIC, while  
12 an NDS smart card based system can generate slightly slower changing keys.  
13 This embodiment works equally well for Scientific Atlanta and Motorola legacy  
14 encryption.

15           Referring now to **FIGURE 6**, a block diagram of a system consistent with an  
16 embodiment of the present invention in which portions of programming are dual  
17 encrypted on a packet-by-packet basis is illustrated as system 300. In this system,  
18 packets of each program are dual encrypted using, for example, legacy CA system  
19 A and CA system B. The packets that are encrypted are selected based upon their  
20 importance to the proper decoding of the video and/or audio stream.

21           In the system illustrated in **FIGURE 6**, the cable system headend 322  
22 selects A/V content 304 packets at a packet selector 316 for encryption. Packets  
23 selected for encryption are chosen so that their non-receipt (by a non-paying  
24 decoder) would severely affect the real-time decoding of a program, and any  
25 possible post processing of recorded content. That is, only critical packets are  
26 encrypted. For the video and audio, this can be accomplished by encrypting "start  
27 of frame" transport stream packets containing PES (packetized elementary stream)  
28 headers and other headers as part of the payload, since without this information,  
29 the STB decoder cannot decompress the MPEG compressed data. MPEG2

1 streams identify "start of frame" packets with the "Packet Unit Start Indicator" in the  
2 transport header. Generally, packets carrying a payload that contains a group of  
3 pictures header or a video sequence header can be used to effect the present  
4 scrambling technique.

5 MPEG (Moving Pictures Expert Group) compliant compressed video  
6 repackages the elementary data stream into the transport stream in somewhat  
7 arbitrary payloads of 188 bytes of data. As such, the transport stream packets  
8 containing a PES header can be selected for encryption at selector 316 and dual  
9 encrypted by both the CA system A encrypter 318 and the CA system B encrypter  
10 324. Packets to be dual partially encrypted are duplicated and the PIDs of  
11 duplicate packets encrypted by encrypter 324 are remapped at 330 to a secondary  
12 PID as in the previous embodiment. The remaining packets are passed in the  
13 clear. The clear packets, system A encrypted packets, system B encrypted  
14 packets and system information 328 are multiplexed together for broadcast over the  
15 cable system 32.

16 As with the previous system, the legacy STB 36 receives clear data and data  
17 encrypted under CA encryption system A and transparently passes unencrypted  
18 data combined with data decrypted by CA decryption A 40 to its decoder. In the  
19 new STB 336, the program is assigned to both a primary and a secondary PID.  
20 The clear packets with the primary PID are received and passed to the decoder.  
21 The encrypted packets with the primary PID are discarded. Encrypted packets with  
22 the secondary PID are decrypted and then recombined with the data stream (e.g.,  
23 by remapping the packets to the primary PID) for decoding.

24 Using video is used as an example, each sample is known as a frame and  
25 the sample rate is typically 30 frames per second. If the samples are encoded to  
26 fit into 3.8 Mbps, each frame would occupy 127K bits of bandwidth. This data is  
27 sliced for MPEG transport into packets of 188 bytes with the first packet(s) of each  
28 frame containing the header used for instructions to process the body of the frame  
29 data. Dual encrypting just the first header packet (1504 additional bits) requires



1 only 1.2% (1504/127K) of additional bandwidth. For high definition (19 Mbps)  
2 streams the percentage is even less.

3 As previously stated, transport stream packets containing a PES header are  
4 the preferred target for encryption according to the present embodiment. These  
5 packets contain sequence headers, sequence extension headers, picture headers,  
6 quantization and other decode tables that also fall within the same packet. If these  
7 packets cannot be decoded (i.e., by a hacker attempting to view unauthorized  
8 programming without paying the subscription charges), not even small portions of  
9 the program can be viewed. In general, any attempt to tune to the program will  
10 likely be met with a blank screen and no audio whatsoever since known decoder  
11 integrated circuits use the PES header to sync up to an elementary stream such  
12 as video and audio in real-time. By encrypting the PES header, the decoding  
13 engine in an un-authorized set-top box cannot even get started. Post processing  
14 attacks, e.g. on stored content, are thwarted by critical dynamically changing  
15 information in the packet containing the PES header. Those skilled in the art will  
16 appreciate that for implementation of this embodiment of the invention, other critical  
17 or important packets or content elements may also be identified for encryption that  
18 could severely inhibit unauthorized viewing without departing from the present  
19 invention. For example, MPEG intra-coded or I frame picture packets could be  
20 encrypted to inhibit viewing of the video portion of the program. Embodiments the  
21 present invention may be used in any combination with other embodiments, e.g.  
22 scrambling the packet containing the PES header as well as random, M<sup>th</sup> and N,  
23 or data structure encryption of the other packets. Critical packet encryption may  
24 be applied to video encryption, while a different method may be applied to audio.  
25 Audio could be dual encrypted, for instance. Other variations within the scope of  
26 the present invention will occur to those skilled in the art.

27 **FIGURE 7** is a flow chart depicting an exemplary encoding process such as  
28 that which would be used at headend 322 of **FIGURE 6**. When a transport stream  
29 packet is received at 350, the packet is examined to determine if it meets a

1 selection criteria for encryption. In the preferred embodiment, this selection criteria  
2 is the presence of a PES header as a portion of the packet payload. If not, the  
3 packet is passed as a clear unencrypted packet (C) for insertion into the output  
4 data stream at 354. If the packet meets the criteria, it is encrypted under CA  
5 encryption system A at 358 to produce an encrypted packet EA. The packet is  
6 also duplicated and encrypted under CA encryption system B at 362 to produce  
7 an encrypted packet. This encrypted packet is mapped to a secondary PID at 366  
8 to produce an encrypted packet EB. Encrypted packets EA and EB are inserted  
9 into the output data stream along with clear packets C at 354. Preferably, the EA  
10 and EB packets are inserted at the location in the data stream where the single  
11 original packet was obtained for encryption so that the sequencing of the data  
12 remains essentially the same.

13 When the output data stream from 354 is received at an STB compliant with  
14 CA encryption system B such as 336 of **FIGURE 6**, a process such as that of  
15 **FIGURE 8** (which is similar to that of **FIGURE 5**) can be utilized to decrypt and  
16 decode the program. When a packet is received having either the primary or the  
17 secondary PID at 370, a determination is made as to whether the packet is clear  
18 (C) or encrypted under system A (EA) at 370 or encrypted under system B (EB) at  
19 374. If the packet is clear, it is passed directly to the decoder 378. In some  
20 embodiments, the relative position of the primary packet, before or after, to the  
21 secondary packet may be used to signal a primary packet for replacement in the  
22 stream. A check of the scrambling state of the primary packet is not specifically  
23 required. If the packet is an EA packet, it is dropped at 380. If the packet is an EB  
24 packet, it is decrypted at 384. At this point, the secondary PID packets and/or the  
25 primary PID packets are remapped to the same PID at 388. The decrypted and  
26 clear packets are decoded at 378.

27 The dual partial encryption arrangement described above can greatly reduce  
28 the bandwidth requirements over that required for full dual carriage. Encrypting the  
29 PES header information can be effective in securing video and audio content, while

1 together and delivered at particular frequencies. In the embodiment of the  
2 invention, the SI information is encrypted, and only made available to authorized  
3 set-top boxes. If the SI information is not received to allow knowledge of the  
4 location of all the A/V frequencies in the plant, then tuning cannot take place.

5 To frustrate a hacker who might program a set-top box to trial or scan  
6 frequencies, the frequencies for the channels can be offset from the standard  
7 frequencies. Also, the frequencies can be dynamically changed on a daily, weekly  
8 or other periodic or random basis. A typical cable headend may have roughly 30  
9 frequencies in use. Each frequency is typically chosen to avoid interference  
10 between, among other things, each other, terrestrial broadcast signals, and  
11 frequencies used by clocks of the receiving equipment. Each channel has at least  
12 1 independent alternate frequency that if used would not could not cause  
13 interference, or cause the frequency of adjoining channels to be changed. The  
14 actual possible frequency maps are therefore  $2^{30}$  or  $1.07 \times 10^9$ . However, a hacker  
15 might simply quickly try both frequencies on each tune attempt for each of the 30  
16 channels or so. If successful in locating a frequency with content, the hacker's set-  
17 top box can then parse the PSI 429 to learn about the individual PIDs that make up  
18 a program. The hacker will have difficulty learning that "program 1" is "CNN", and  
19 that "program 5" is "TNN", and so on. That information is sent with the SI, which as  
20 stated above is scrambled and otherwise unavailable to the un-authorized set-top  
21 box. However, a persistent hacker might yet figure those out by selecting each one  
22 and examining the content delivered. So in order to frustrate the identification of  
23 channels, the assignment of a program within a single stream can move around,  
24 e.g. program 2 and program 5 swapped in the example above so that "program 1"  
25 is "TNN" and "program 5" is "CNN". Also, it is possible to move programs to  
26 entirely different streams with entirely new program groupings. A typical digital  
27 cable headend can deliver 250 programs of content including music. Each can be  
28 uniquely tuned. The possible combinations for re-ordering are 250! (factorial).  
29 Without a map of the content provided by either the delivered SI or by a hacker, the

1 allowing two or more CA systems to independently "co-exist" on the same cable  
2 system. Legacy system A set-top boxes are un-affected, and system B set-top  
3 boxes require only an minor hardware, firmware, or software enhancement to listen  
4 for two PIDs each for video and audio. Each type of STB, legacy and non-legacy,  
5 retains its intrinsic CA methodology. Headend modification is limited to selecting  
6 content for encryption, introducing the second encrypter, and providing a means to  
7 mix the combination into a composite output stream.

8 In one embodiment, the headend equipment is configured to  
9 opportunistically scramble as much of the content as the bandwidth will allow, and  
10 not just the critical PES headers. These additional scrambled packets would be  
11 either in the PES payload or other packets throughout the video/audio frame to  
12 provide even further security of the content.

#### 13 SI ENCRYPTION

14 Turning now to **FIGURE 9**, one embodiment of a system that minimizes  
15 the need for any additional bandwidth is illustrated as system 400. In this  
16 embodiment, the system takes advantage of the fact that system information (SI)  
17 428 is required for a set-top box to tune programming. In a cable system, SI is sent  
18 in the out-of-band, a frequency set aside from the normal viewing channels. It is  
19 possible to also sent it in-band. If sent in-band, the SI 428 is replicated and sent  
20 with each stream. For discussion purposes, assume that the SI delivered to  
21 "legacy" set-top boxes from previous manufacturers is separate from the SI  
22 delivered to set-tops from new manufacturers such as STB 436. Consequently,  
23 each version of the SI can be independently scrambled as illustrated using  
24 conditional access system A 418 and conditional access system B 424. The clear  
25 video 404 and clear audio 406 are delivered in the clear, but in order to understand  
26 how to find them, the SI information 428 is needed.

27 The SI delivers information about channel names and program guide  
28 information such as program names and start times, etc. ... as well as the  
29 frequency tuning information for each channel. Digital channels are multiplexed  
30

1 user is faced with randomly selecting each program in a stream to see if it is the  
2 one interest.

3 Thus, at headend 422, the video signal 404 and the audio signal 406 are  
4 provided in the clear (unencrypted) while the SI 428 is provided to multiple CA  
5 systems for delivery over the cable network. Thus, in the exemplary system 400,  
6 clear SI 428 is provided to an encryption system 428 that encrypts SI data using  
7 encryption system A. Simultaneously, clear SI 428 is provided to encryption  
8 system 424 that encrypts the SI data using encryption system B. Clear video and  
9 audio are then multiplexed along with encrypted SI from 418 (SI A) and encrypted  
10 audio from 424 (SI B) out of band system information 428.

11 After distribution through the cable system 32, the video, the audio, system  
12 information A and system information B are all delivered to set-top boxes 36 and  
13 436. At STB 36, the encrypted SI is decrypted at CA system A 40 to provide tuning  
14 information to the set-top box. The set-top box tunes a particular program to allow  
15 it to be displayed on television set 44. Similarly, at STB 436, the encrypted SI is  
16 decrypted at CA system B 440 to provide tuning information for the set-top box,  
17 allow a particular program to be tuned and displayed on television set 444.

18 An advantage of this approach is that no additional A/V bandwidth is  
19 required in the content delivery system, e.g. cable system. Only the SI is dual  
20 carried. No special hardware is required. Any offset frequencies from the standard  
21 ones can be easily accommodated by most tuners. SI decryption can be performed  
22 in software or can be aided by hardware. For example, legacy Motorola set-top  
23 boxes have an ability to descramble the SI delivered in the Motorola out-of-band  
24 using a hardware decrypter built into the decoder IC chip.

25 A determined hacker can potentially use a spectrum analyzer on the coax  
26 cable to learn where the A/V channels are located. Also, it may be possible for the  
27 hacker to program a set-top box to auto-scan the frequency band to learn where the  
28 A/V channels are – a relatively slow process. If the A/V channel frequencies  
29 changed dynamically, then that could foil the hackers, since they would need to be  
30 constantly analyzing or scanning the band. Also, the program numbers and

1 assigned PIDs can vary. However, dynamically changing frequencies, program  
2 numbers, and PIDs might create operational difficulties to a service provider, e.g.  
3 cable operator.  
4

#### 5 GENERALIZED REPRESENTATION

6 Each of the above techniques can be represented generically by the system  
7 500 of **FIGURE 10**. This system 500 has a cable system headend 522 with clear  
8 video 504, clear audio 506, SI 528, and PSI 529 any of which can be selectively  
9 switched through an intelligent processor controlled switch 518, which also serves  
10 to assign PIDs (in embodiments requiring PID assignment or reassignment), to  
11 conditional access system A 504 or conditional access system B 524 or passed  
12 in the clear to the cable system 32. As previously, the program or SI encrypted  
13 according to the legacy CA system A can be properly decoded by STB 36. The CA  
14 system B encrypted information is understood by STBs 536 and decrypted and  
15 decoded accordingly, as described previously.  
16

#### 17 PID MAPPING CONSIDERATIONS

18 The PID mapping concepts described above can be generally applied to the  
19 dual partial encryption techniques described herein, where needed. At the cable  
20 headend, the general concept is that a data stream of packets is manipulated to  
21 duplicate packets selected for encryption. Those packets are duplicated and  
22 encrypted under two distinct encryption methods. The duplicated packets are  
23 assigned separate PIDs (one of which matches the legacy CA PID used for clear  
24 content) and reinserted in the location of the original selected packet in the data  
25 stream for transmission over the cable system. At the output of the cable system  
26 headend, a stream of packets appears with the legacy encrypted packets and clear  
27 packets having the same PID. A secondary PID identifies the packets that are  
28 encrypted under the new encryption system. In addition to the PID remapping that  
29 takes place at the headend, MPEG packets utilize a continuity counter to maintain  
30 the appropriate sequence of the packets. In order to assure proper decoding, this

continuity counter should be properly maintained during creation of the packetized data stream at the headend. This is accomplished by assuring that packets with each PID are assigned continuity counters sequentially in a normal manner. Thus, packets with the secondary PID will carry a separate continuity counter from those of the primary PID. This is illustrated below in simplified form where PID 025 is the primary PID and PID 125 is the secondary PID, E represents an encrypted packet, C represents a clear packet, and the end number represents a continuity counter.

025C04	025E05	125E11	025C06	025C07	025C08	025C09	125E12
--------	--------	--------	--------	--------	--------	--------	--------

In this exemplary segment of packets, packets with PID 025 are seen to have their own sequence of continuity counters (04, 05, 06, 07, 08, 09, ...). Similarly, the packets with secondary PID 125 also have their own sequence of continuity counters (11, 12, ...).

At the STB, the PIDs can be manipulated in any number of ways to correctly associate the encrypted packets with secondary PID with the correct program. In one implementation, the packet headers of an input stream segment illustrated below:

025C04	025E05	125E11	025C06	025C07	025C08	025C09	025E10
--------	--------	--------	--------	--------	--------	--------	--------

are manipulated to create the following output stream segment:

125C04	025E11	125E05	125C06	125C07	125C08	125C09	125E10
--------	--------	--------	--------	--------	--------	--------	--------

The primary PIDs (025) in the input stream are replaced with the secondary PID (125) for the clear packets (C). For the encrypted packets, the primary PID and secondary PID are retained, but the continuity counters are swapped. Thus, the stream of packets can now be properly decrypted and decoded without errors

1 caused by loss of continuity using the secondary PID. Other methods for  
2 manipulation of the PIDs, e.g. mapping the PID (125) on the scrambled legacy  
3 packet to a NOP PID (all ones) or other PID value not decoded, and the continuity  
4 counters can also be used in embodiments consistent with the present invention.

5 The primary and secondary PIDs are conveyed to the STBs in the program  
6 map table (PMT) transmitted as a part of the program system information (PSI)  
7 data stream. The existence of a secondary PID can be established to be ignored  
8 by the STB operating under CA encryption system A (the "legacy" system), but new  
9 STBs operating under CA encryption system B are programmed to recognize that  
10 secondary PIDs are used to convey the encrypted part of the program associated  
11 with the primary PID. The set-top boxes are alerted to the fact that this encryption  
12 scheme is being used by the presence of a CA descriptor in the elementary PID "for  
13 loop" of the PMT. There typically would be a CA descriptor for the video  
14 elementary PID "for loop", and another one in the audio elementary PID "for loop".  
15 The CA descriptor uses a Private Data Byte to identify the CA\_PID as either the  
16 ECM PID or the secondary PID used for partial scrambling, thus setting up the STB  
17 operating under system B to look for both primary and secondary PIDs associated  
18 with a single program. Since the PID field in the transport header is thirteen bits  
19 in length, there are  $2^{13}$  or 8,192 PIDs available for use, any spare PIDs can be  
20 utilized for the secondary PIDs as required.

21 In addition to the assignment of a PID for each program component or  
22 selected portion thereof, a new PID may be assigned to tag ECM data used in the  
23 second encryption technique. Each PID number assigned can be noted as a user  
24 defined stream type to prevent disrupting operation of a legacy STB. MPEG  
25 defines a reserved block of such numbers for user defined data stream types.

26 While conceptually the PID mapping at the cable headend is a simple  
27 operation, in practice the cable headend equipment is often already established  
28 and is therefore modified to accomplish this task in a manner that is minimally  
29 disruptive to the established cable system while being cost effective. Thus, the  
30 details of the actual implementation within the cable system headend are



1 somewhat dependent upon the actual legacy hardware present in the headend,  
2 examples of which are described in greater detail below.  
3  
4

#### 5 Headend IMPLEMENTATIONS

6 Those skilled in the art will appreciate that the above descriptions as related  
7 to **FIGURES 2, 3, 6, 9 and 10** are somewhat conceptual in nature and are used to  
8 explain the overall ideas and concepts associated with the various embodiments  
9 of the present invention. In realizing a real world implementation of the present  
10 invention, those skilled in the art will recognize that a significant real world issue  
11 to contend with is providing a cost effective implementation of the various partial  
12 encryption methods within existing legacy headend equipment at established cable  
13 providers. Taking two of the primary legacy cable systems as examples, the  
14 following describes how the above techniques can be implemented at a cable  
15 headend.

16 First, consider a cable system headend using a Motorola brand conditional  
17 access system. In such a system the modifications shown in **FIGURE 11** can be  
18 done to provide a cost effective mechanism for partial dual encryption  
19 implementation. In a typical Motorola system, a HITS (Headend In The Sky) or  
20 similar data feed is provided from a satellite. This feed provides aggregated  
21 digitized content that is supplied to cable providers and is received by a receiver /  
22 descrambler / scrambler system 604 such as the Motorola Integrated Receiver  
23 Transcoder (IRT) models IRT 1000 and IRT 2000, and Motorola Modular Processing  
24 System (MPS). A clear stream of digitized television data can be obtained from the  
25 satellite descrambler functional block 606 of the receiver / descrambler / scrambler  
26 604. This clear stream can be manipulated by a new functional block shown as  
27 packet selector / duplicator 610. This new block 610 may be implemented as a  
28 programmed processor or may be otherwise implemented in hardware, software  
29 or a combination thereof.

1 Packet selector / duplicator 610 selects packets that are to be dual  
2 encrypted under any of the above partial dual encryption methods. Those packets  
3 are then duplicated with new PIDs so that they can be later identified for encryption.  
4 For example, if packets at the input of 610 associated with a particular program  
5 have PID A, then packet selector / duplicator 610 identifies packets to be encrypted  
6 and duplicates those packets and remaps them to PIDs B and C respectively, so  
7 that they can be identified later for encryption under two different systems.  
8 Preferably, the duplicate packets are inserted into the data stream adjacent one  
9 another in the location of the originally duplicated packet now with PID C so that  
10 they remain in the same order originally presented (except that there are two  
11 packets where one previously resided in the data stream). Assume, for the  
12 moment, that the new CA system to be added is NDS encryption. In this case, PID  
13 A will represent clear packets, PID B will represent NDS encrypted packets and  
14 PID C will represent Motorola encrypted packets. The packets having PID B may  
15 be encrypted under the NDS encryption at this point in 610 or may be encrypted  
16 later.

17 The packets with PIDs B and C are then returned to the system 604 where  
18 packets with PID C are encrypted under Motorola encryption at cable scrambler  
19 612 as instructed by the control system 614 associated with the Motorola  
20 equipment. The output stream from cable scrambler 612 then proceeds to another  
21 new device - PID remapper and scrambler 620, which receives the output stream  
22 from 612 and now remaps the remaining packets with PID A to PID C and encrypts  
23 the PID B packets under the NDS encryption algorithm under control of control  
24 system 624. The output stream at 626 has clear unencrypted packets with PID C  
25 and selected packets which have been duplicated and encrypted under the  
26 Motorola encryption system with PID C along with encrypted packets under the  
27 NDS encryption system with PID B. This stream is then modulated (e.g.,  
28 Quadrature Amplitude Modulated and RF modulated) for distribution over the cable  
29 system. The preferred embodiment maps the unencrypted packets on PID A to  
30 match the scrambled packets on PID C because the audio and video PIDs called

1 out in legacy program specific information (PSI) is correct that way. The control  
2 computer, the scrambler, and legacy set-top boxes only know about PID C.  
3 Alternatively, the scrambled packets on PID C could be mapped back to PID A, but  
4 this would likely mean editing the PSI, that was automatically generated, to map  
5 the PID numbers from PID C back to PID A in the PID remapper and scrambler  
6 620.

7 In the above example, the PID remapper and scrambler 620 may also be  
8 used to demultiplex PSI information, modify it to reflect the addition of the NDS  
9 encryption (through the use of CA descriptors in the PMT) and multiplex the  
10 modified PSI information back into the data stream. The ECMs to support NDS  
11 encryption may also be inserted into the data stream at PID remapper and  
12 scrambler 620 (or could be inserted by packet selector / duplicator 610).

13 Thus, in order to add NDS encryption (or another encryption system) to a  
14 cable system headend using Motorola equipment, packets are duplicated and PIDs  
15 are remapped in the data stream from the satellite descrambler. The remapped  
16 PIDs are then used to identify packets that are to be scrambled under each CA  
17 system. Once the legacy system encryption has taken place, the clear PID is then  
18 remapped so that both clear and encrypted packets in the legacy system share the  
19 same PID (or PIDs). PID remapping as in 620 and packet selection and duplication  
20 as in 610 can be implemented using a programmed processor or using custom or  
21 semi-custom integrated circuitry such as an application specific integrated circuit  
22 or a programmable logic device or field programmable gate array. Other  
23 implementations are also possible without departing from the present invention.

24 **FIGURE 12** depicts a similar equipment configuration such as that used in  
25 implementing the partial dual encryption of the present invention in a Scientific  
26 Atlanta based cable headend. In this embodiment, the HITS feed or similar is  
27 received at IRD 704 which incorporates a satellite descrambler 706. This may be  
28 a Motorola IRT or MPS with only the satellite descrambler function enabled. The  
29 output of the satellite descrambler 706 again provides a clear data stream that can  
30 be manipulated by a new packet selector / duplicator 710 which selects packets

1 to be encrypted, duplicates them and maps the PIDs of the duplicate packets to  
2 new PIDs. Again, for example, packets to remain in the clear are assigned PID A,  
3 packets to be encrypted under the new system (e.g., NDS) are assigned PID B and  
4 packets to be encrypted under the Scientific Atlanta encryption system are  
5 assigned PID C. The packets with PID B may be encrypted at this point under the  
6 NDS encryption system.

7 The stream of packets is then sent to a multiplexer 712 (e.g., a Scientific  
8 Atlanta multiplexer) where the packets having PID C are encrypted under the  
9 Scientific Atlanta encryption system at 714 under control of control system 718  
10 associated with multiplexer 712. The stream of data is then supplied internal to  
11 multiplexer 712 to a QAM modulator 720. In order to properly remap the packets,  
12 the QAM modulated signal at the output of multiplexer 712 is provided to a new  
13 processor system 724 where the QAM modulated signal is demodulated at a QAM  
14 demodulator 730 and the clear PID A packets are remapped to PID C at PID  
15 remapper 734 under control of a control system 738. Encryption under the NDS  
16 encryption algorithm can also be carried out here rather than in 710. The data  
17 stream with remapped PIDs and dual partial encryption is then QAM and RF  
18 modulated at 742 for distribution over the cable system.

19 In the above example, the PID remapper and scrambler 734 may also be  
20 used to demultiplex PSI information, modify it to reflect the addition of the NDS  
21 encryption (adding the CA descriptors to the PMT) and multiplex the modified PSI  
22 information back into the data stream. The ECMs to support NDS encryption may  
23 also be inserted into the data stream at PID remapper and scrambler 734 (or could  
24 be inserted by packet selector / duplicator 710). PID remapping and or scrambling  
25 as in 734 along with QAM demodulation and QAM modulation as in 730 and 742  
26 respectively, and packet selection and duplication as in 710 can be implemented  
27 using a programmed processor or using custom or semi-custom integrated circuitry  
28 such as an application specific integrated circuit or a programmable logic device  
29 or field programmable gate array. Other implementations are also possible without  
30 departing from the present invention.

1           The above embodiments of the present invention allow legacy scrambling  
2 equipment to scramble only the packets desired in an elementary stream instead  
3 of the entire elementary stream. The scrambling of certain packets of an  
4 elementary stream is accomplished by using a PID number for packets that are not  
5 going to be scrambled, e.g., PID A. Packets that will be scrambled will be placed  
6 on PID C. The scrambling equipment will scramble the packets on PID C (the ones  
7 that have been selected for scrambling). After the scrambling has taken place, the  
8 unscrambled packets have the PID number mapped to the same as the scrambled  
9 packet – PID A becomes PID C. The legacy set-top boxes will receive an  
10 elementary stream with both scrambled and un-scrambled packets.

11           The packets in these embodiments are handled as a stream. The entire  
12 stream is sent to the legacy scrambling equipment for scrambling. This keeps all  
13 of the packets in exact time synchronous order. If packets were extracted from a  
14 stream and sent to the legacy scrambling equipment, time jitter might be  
15 introduced. The present embodiment avoids that problem by keeping all the  
16 packets in a stream. The embodiment does not require cooperation from the  
17 legacy scrambling equipment provider because that equipment is not involved in  
18 the remapping of packets- from PID A to PID C. This remapping is preferable  
19 because the PID called out by the PSI generated by the legacy scrambling system  
20 does not need to change. The legacy system knows about PID C, but not PID A.  
21 The entire elementary stream to be scrambled by the legacy scrambling equipment  
22 is found on a single PID that the scrambling system has been instructed to  
23 scramble.

24           In the above examples, the use of NDS as the second encryption system  
25 should not be considered limiting. Moreover, although two widely used systems -  
26 Motorola and Scientific Atlanta have been depicted by way of example, similar  
27 modifications to legacy systems to permit PID remapping and dual partial  
28 encryption can be used. In general, the technique described above involves the  
29 process generally described as 800 in **FIGURE 13**. A feed is received at 806 which  
30 is descrambled as it is received at 810 to produce a clear data stream of packets.

1 At 814, packets are selected according to the desired partial dual encryption  
2 technique (e.g., audio only, packets containing PES header, etc.). At 818, the  
3 selected packets are duplicated and the duplicate pairs are remapped to two new  
4 PIDs (e.g., PID B and PID C). The duplicated packets are then encrypted based  
5 upon PID (that is, PID C is encrypted according to legacy encryption and PID B is  
6 encrypted according to the new encryption system) at 822. The clear packets (e.g.,  
7 PID A) are then remapped to the same PID as the legacy encrypted PID (PID C) at  
8 826.

9 The order in which some of the elements of the process of **FIGURE 13** are  
10 carried out can vary according to the particular legacy system being modified to  
11 accommodate the particular dual encryption arrangement being used. For  
12 example, encryption under a new encryption system can be carried out either at the  
13 time of duplication or later at the time of remapping the legacy packets, as  
14 illustrated in **FIGURE 11** and **12**. Additionally, various demodulation and re-  
15 modulation operations can be carried out as needed to accommodate the particular  
16 legacy system at hand (not shown in **FIGURE 13**).

## 17 SET-TOP BOX IMPLEMENTATIONS

18 Several set-top box implementations are possible within the scope of the  
19 present invention. The method used at the headend to select packets for  
20 encryption is irrelevant to the STB.  
21

22 One such implementation is illustrated in **FIGURE 14**. In this embodiment,  
23 packets from a tuner and demodulator 904 are provided to a decoder circuit 908's  
24 demultiplexer 910. The packets are buffered into a memory 912 (e.g., using a  
25 unified memory architecture) and processed by the STB's main CPU 916 using  
26 software stored in ROM memory 920.

27 Selected PIDs can be stripped from the incoming transport via the STB's PID  
28 filter, decrypted and buffered in SDRAM, similar to the initial processing required  
29 in preparation for transfer to an HDD in a PVR application. The host CPU 916 can

1 then "manually" filter the buffered data in SDRAM for elimination of the packets  
2 containing unneeded PIDs. There are some obvious side effects to this process.

3 The host overhead is estimated to be about 1% of the bandwidth of the CPU.  
4 In the worst case, this is equivalent to 40K bytes/Second for a 15 Mbit/S video  
5 stream. This reduction is possible since at most only 4 bytes of each packet is  
6 evaluated and the location is on 188 byte intervals so the intervening data does not  
7 have to be considered. Each packet header in SDRAM can therefore be directly  
8 accessed through simple memory pointer manipulation. Additionally, Packets are  
9 cached in blocks and evaluated en masse to reduce task switching of the host.  
10 This would eliminate an interrupt to other tasks upon the reception of each new  
11 packet. This may produce a increased latency for starting decode of a stream upon  
12 channel change to allow time for cache fill. This may be negligible depending upon  
13 the allocated SDRAM cache buffer size.

14 The host filtered packets in the SDRAM buffer are then transferred to the A/V  
15 Queue through existing hardware DMA processes and mimics a PVR  
16 implementation. The filtered packets are then provided to the decoder 922 for  
17 decoding.

18 A second technique for implementation in a set-top box is illustrated in  
19 **FIGURE 15.** Since RISC processor A/V decoder module in 930 processes the  
20 partial transport PIDs and strips/concatenates for decode, the firmware within  
21 decoder IC 930 can be altered to exclude individual packets in a partial transport  
22 stream based upon criteria in each packet header. Alternatively, the demultiplexer  
23 910 can be designed to exclude the packets. Legacy scrambled packet(s) pass  
24 through the CA module still encrypted. By using the decoder IC 930 to perform the  
25 removal of the legacy scrambled packets and assuming that the packets encrypted  
26 under the new encryption algorithm (e.g., NDS) is immediately adjacent the legacy  
27 encrypted packet (or at least prior to next primary stream video packet) then the  
28 pruning of the legacy packet in effect accomplishes the merging of a single, clear  
29 stream into the header strip and video queue.

1 A third technique for implementation of partial decryption in a set-top box is  
2 illustrated in **FIGURE 16**. In this embodiment, the PID remapping is carried out  
3 either within a circuit such as an ASIC, Field Programmable Gate Array (FPGA),  
4 or a programmable logic device (PLD) 938 or other custom designed circuit placed  
5 between the tuner and demodulator 904 and the decoder IC 908. In a variation of  
6 this embodiment, the decoder IC 908 can be modified to implement the PID  
7 remapping within demultiplexer 940. In either case, the legacy encrypted packets  
8 are dropped and the non-legacy packets re-mapped either in circuit 938 or  
9 demultiplexer 940.

10 This third technique can be implemented in one embodiment using the PLD  
11 depicted in **FIGURE 17**. This implementation assumes that there will be not be  
12 more than one encrypted packet of a particular PID appearing in a row, thus, the  
13 implementation could be modified to accommodate bursts of encrypted packets  
14 such as with the M and N<sup>th</sup> encryption arrangement described above (as will be  
15 explained later). The input stream passes through a PID identifier 950 which  
16 serves to demultiplex the input stream based upon PID. Primary PID packets are  
17 checked for continuity at 958. If a continuity error is detected, the error is noted and  
18 the counter is reset at 960.

19 The original input packet stream contains packets tagged with many PIDs.  
20 The PID identifier 950 separates packets with the two PIDs of interest (primary and  
21 secondary PIDs) from all other packets. This capability can be scaled to process  
22 multiple PID pairs. These other packets are bypassed directly to the revised output  
23 stream. This processing results in a three or four byte clocking delay.

24 Packets with the secondary PID are routed by the PID identifier 950 to a  
25 continuity count checker 954 which verifies sequence integrity for this PID. Any  
26 errors are noted at 956, but specific handling of errors is not relevant to  
27 understanding the present invention. The packet's continuity value is preserved for  
28 use in checking the sequence of packets to follow. A corresponding continuity



1 check 958 is done for packets with the primary PID using the independent primary  
2 counter, and again any errors are noted at 960.

3 The secondary packet is checked for a secondary flag at 962. This Boolean  
4 indicator is used to remember if a secondary packet has been processed since the  
5 last clear packet. More than one secondary packet between clear packets is an  
6 error in this embodiment and is noted at 964. Presence of a secondary packet is  
7 remembered by setting the secondary flag at 966.

8 The continuity counter of the secondary packet is changed at 968 to fit into  
9 the sequence of the clear packets. Data for this substitution comes from the value  
10 used to verify continuity of the primary stream at 958. The revised packet is sent  
11 out from 968 and merged into the revised stream forming the output stream.

12 After packets with primary PIDs have had their continuity checked at 958,  
13 they are differentiated at 970 by the scrambling flags in the header. If the packet  
14 is scrambled, the primary flag is queried at 974. This primary flag Boolean  
15 indicator is used to remember if a primary encrypted packet has been processed  
16 since the last clear packet. More than one encrypted primary packet between clear  
17 packets is an error in this embodiment and is noted at 976 before the packet is  
18 discarded at 978. Presence of a encrypted primary packet is remembered by  
19 setting the primary flag at 980. If there is no downstream consumer for the primary  
20 encrypted packet, it can be discarded at 978. In some cases it may be necessary  
21 for the packet to continue on (in which case its continuity counter can use the  
22 discarded secondary continuity value).

23 If the primary PID scramble test at 970 detects a clear packet, the state of  
24 the secondary and primary flags is tested at 984. Valid conditions are neither set  
25 and both set, since encrypted packets should come in matched pairs. A sequence  
26 of one without the other should be noted as an error at 988. However, the order of  
27 appearance is inconsequential in this embodiment. It should be noted that there  
28 may be other ways to flag a primary packet for deletion other than the scrambling  
29 bits in the transport header, e.g. the transport\_priority bit. Also, it is possible not

1 to use any bits what-so-ever, e.g. using the primary packet's simple positional  
2 information, before or after the secondary packet, as an indicator for replacement.

3 Clear packets with the primary PID then have their PID value changed at 992  
4 to the secondary PID before being output in the revised output stream.  
5 Alternatively, the secondary PID packets can be remapped to the primary PID  
6 value. The content can be decoded when the decoder is provided with the correct  
7 PID for decoding the content (whether the primary or secondary PID). Presence of  
8 a clear packet also clears the primary and secondary Boolean flags.

9 In all the embodiments proposed, the secondary packet can be inserted  
10 adjoining the primary packet to be replaced even when a series of primary packets  
11 are tagged for replacement. However, in some instances, it may facilitate headend  
12 partial scrambling if multiple encrypted packets can be inserted into the stream  
13 without the intervening secondary packets. In order to accommodate multiple  
14 consecutive encrypted packets (such as with the M<sup>th</sup> and N partial encryption  
15 method), the use of primary and secondary flags can be replaced with a counter  
16 matching test function. Thus, in place of elements 962, 964 and 966, a secondary  
17 encrypted packet counter can be incremented. In place of elements 970, 974, 976  
18 and 980, a primary encrypted packet counter can be incremented. Element 984  
19 can be replaced with a comparison of the primary and secondary encrypted packet  
20 counters to assure that the same number of encrypted packets are received in both  
21 the primary and secondary paths. Instead of clearing flags at 992, the counters are  
22 cleared. Using this variation, multiple encrypted packets may be consecutively  
23 received and the number received are compared to monitor the integrity of the data  
24 stream. Other variations will occur to those skilled in the art.

25 The function described above in connection with **FIGURE 17** can be  
26 integrated into an A/V decoder chip that functions similar to that of the  
27 commercially available Broadcom series 70xx or 71xx decoder used in commercial  
28 set-top boxes. **FIGURE 18** illustrates a block diagram for such a decoder chip  
29 where the functions already provided in the commercial chip are essentially

1 unchanged. Normally, commercial decoder chips expect there to be a one-to-one  
2 correspondence between the PIDs and program components (e.g., audio or video).

3 The decoder illustrated in **FIGURE 18** permits multiple PIDs to be  
4 programmed into the decoder via a connection to the STB central processor so that  
5 both primary and secondary PIDs can be handled for main audio, main video and  
6 a secondary video used for picture-in-picture (PiP) functions. In this embodiment,  
7 the raw data stream is received by a Packet sorter 1002 that provides a function  
8 similar to that described in connection with **FIGURE 17** above to demultiplex the  
9 stream of packets based upon PID. Preferably, the decoder of **FIGURE 18** carries  
10 out the PID sorting function of 1002 using hard wired logic circuitry rather than  
11 programmed software. Program guide and stream navigation information is output  
12 for use by an STB's main processor, for example. The packets associated with the  
13 main audio program are buffered in a FIFO 1006, decrypted in a decrypter 1010  
14 and then buffered at 1014 for retrieval by an MPEG audio decoder 1018 as needed.  
15 Decoded MPEG audio is then provided as an output from the decoder.

16 In a similar manner, packets associated with the main video program are  
17 buffered in a FIFO 1024, decrypted in a decrypter 1028 and then buffered at 1032  
18 for retrieval by an MPEG video decoder 1036 as needed. Decoded MPEG video  
19 for the main channel is then provided to a compositor 1040 and then provided as  
20 an output from the decoder. Similarly, packets associated with picture-in-picture  
21 video are buffered in a FIFO 1044, decrypted in a decrypter 1048 and then buffered  
22 at 1052 for retrieval by an MPEG video decoder 1056 as needed. Decoded MPEG  
23 video for the picture-in-picture channel is then provided to the compositor 1040  
24 where it is combined with the main channel video and then provided as a decoded  
25 video output from the decoder. Other packets not associated with the main or  
26 picture-in-picture channel are discarded. Of course, other functions may be  
27 incorporated in the decoder chip or deleted without departing from embodiments  
28 of the present invention.  
29

1        CONCLUSION

2            As previously mentioned, in order to thwart a persistent threat by hackers,  
3        several of the above partial encryption arrangements can be combined to further  
4        enhance security. For example, the critical packet encryption can be used in any  
5        combination with SI encryption, M<sup>th</sup> an N, random encryption, time slice and other  
6        techniques to further enhance security. In one embodiment, as many packets  
7        would be encrypted as bandwidth is available. The amount of encryption might  
8        depend on whether the content was a regular program or premium (such as a pay-  
9        per-view or VOD), whether it was an adult program or a regular movie, and the  
10       security level that the various cable operators feel comfortable operating. Those  
11       skilled in the art will appreciate that many other combinations are possible to  
12       further enhance the security of the encryption without departing from the present  
13       invention.

14           The present invention, as described above in its various embodiments, has  
15       been described in terms of a digital A/V system using MPEG 2 coding. Thus, the  
16       various packet names and protocol specifically discussed is related the MPEG 2  
17       coding and decoding. However, those skilled in the art will appreciate that the  
18       concepts disclosed and claimed herein are not to be construed in such a limited  
19       scope. The same or analogous techniques can be used in any digital cable system  
20       without limitation to MPEG 2 protocols. Moreover, the present techniques can be  
21       used in any other suitable content delivery scenario including, but not limited to,  
22       terrestrial broadcast based content delivery systems, Internet based content  
23       delivery, satellite based content delivery systems such as, for example, the Digital  
24       Satellite Service (DSS) such as that used in the DirecTV<sup>TM</sup> system, as well as  
25       package media (e.g. CDs and DVDs). These various alternatives are considered  
26       equivalent for purposes of this document, and the exemplary MPEG 2 cable  
27       embodiment should be considered to be an exemplary embodiment presented for  
28       illustrative purposes.

29           In addition, the present invention has been described in terms of decoding  
30       partially encrypted television programs using a television set-top box. However, the

1 present decoding mechanism can equally be implemented within a television  
2 receiver without need for an STB, or music player such as an MP3 player. Such  
3 embodiments are considered equivalent.

4 Also, while the present invention has been described in terms of the use of  
5 the encryption techniques described to provide a mechanism for dual partial  
6 encryption of a television program, these partial encryption techniques could be  
7 used as a single encryption technique or for multiple encryption under more than  
8 two encryption systems without limitation. More than two encryption systems  
9 would be accommodated with additional duplicated packets that are encrypted.  
10 Alternatively, the encryption key for one of the duplicated packets may be shared  
11 amongst the multiple encryption systems. Additionally, although specifically  
12 disclosed for the purpose of encryption of television programming, the present  
13 inventions can be utilized for single or dual encryption of other content including,  
14 but not limited to content for download over the Internet or other network, music  
15 content, packaged media content as well as other types of information content.  
16 Such content may be played on any number of playback devices including but not  
17 limited to personal digital assistants (PDAs), personal computers, personal music  
18 players, audio systems, audio / video systems, etc. without departing from the  
19 present invention.

20 Those skilled in the art will recognize that the present invention has been  
21 described in terms of exemplary embodiments that can be realized by use of a  
22 programmed processor. However, the invention should not be so limited, since the  
23 present invention could be implemented using hardware component equivalents  
24 such as special purpose hardware and/or dedicated processors which are  
25 equivalents to the invention as described and claimed. Similarly, general purpose  
26 computers, microprocessor based computers, micro-controllers, optical computers,  
27 analog computers, dedicated processors and/or dedicated hard wired logic may be  
28 used to construct alternative equivalent embodiments of the present invention.

29 Those skilled in the art will appreciate that the program steps and associated  
30 data used to implement the embodiments described above can be implemented

1 using disc storage as well as other forms of storage such as for example Read  
2 Only Memory (ROM) devices, Random Access Memory (RAM) devices; optical  
3 storage elements, magnetic storage elements, magneto-optical storage elements,  
4 flash memory, core memory and/or other equivalent storage technologies without  
5 departing from the present invention. Such alternative storage devices should be  
6 considered equivalents.

7 The present invention, as described in embodiments herein, can be  
8 implemented using a programmed processor executing programming instructions  
9 that are broadly described above in flow chart form that can be stored on any  
10 suitable electronic storage medium or transmitted over any suitable electronic  
11 communication medium. However, those skilled in the art will appreciate that the  
12 processes described above can be implemented in any number of variations and  
13 in many suitable programming languages without departing from the present  
14 invention. For example, the order of certain operations carried out can often be  
15 varied, additional operations can be added or operations can be deleted without  
16 departing from the invention. Error trapping can be added and/or enhanced and  
17 variations can be made in user interface and information presentation without  
18 departing from the present invention. Such variations are contemplated and  
19 considered equivalent.

20 While the invention has been described in conjunction with specific  
21 embodiments, it is evident that many alternatives, modifications, permutations and  
22 variations will become apparent to those skilled in the art in light of the foregoing  
23 description. Accordingly, it is intended that the present invention embrace all such  
24 alternatives, modifications and variations as fall within the scope of the appended  
25 claims.

26 What is claimed is:  
27  
28